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I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS pursuant to 37 C.F.R. §1.8 on the below date:

Date: 1/19/2010

Name: Allen R. Baum

Signature

Me R. San

Our Case No. 13810-12

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Albane AUDEMER et al.	)
U.S. Patent No. 7,618,747	) Examiner: Muhammad Siddiquee
Issue Date: November 17, 2009	) Group Art Unit No. <b>1795</b>
Serial No. <b>10/518,560</b>	)
Filing Date: August 31, 2005	)
Title: Carbon-Coated Li-Containing Powders and Process for Production Thereof	) )

### PATENT TERM ADJUSTMENT PURSUANT TO 37 C.F.R. § 1.705(d)

Mail Stop Patent Ext Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This petition is filed in connection with the recent Court of Appeals for the Federal Court decision in *Wyeth*, *et al. v. Kappos*. U.S. Patent No. 7,618,747 was issued on November 17, 2009. Pursuant to 35 U.S.C. § 154(b) the United States Patent and Trademark Office has calculated a patent term adjustment of 532 days. A copy of the issue notification for U.S. patent number 7,618,747 is included herewith as Exhibit A.

Patent No. 7,618,747 Date Issued: November 17, 2009 Serial No. 10/518,560 Date Filed: August 31, 2005

Applicants' Attorney believes that the patent term adjustment should be 975 days. For the reasons stated herein, reconsideration of this patent term adjustment is respectfully requested pursuant to 37 C.F.R. 1.705(d). Please charge the petition fee pursuant to 37 C.F.R. § 1.18(e) to Deposit Account No. 23-1925. Please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

The patent term adjustment for U.S. patent number 7,618,747 was calculated by the U.S. Patent and Trademark Office based on activities and associated dates detailed in the Patent Application Information Retrieval (PAIR) system Patent Term Adjustment History, attached as Exhibit B. Applicants' Attorney believes that errors and/or omissions in the calculation and/or the PAIR system Patent Term Adjustment History resulted in an incorrect patent term adjustment for U.S. patent number 7,618,747 as described in detail below. Pursuant to 37 C.F.R §1.705(d), this request for reconsideration is being filed within two months of the issue date of the above-referenced patent. Note that U.S. patent number 7,618,747 is not subject to a terminal disclaimer. In addition, there were no circumstances during the prosecution of the application resulting in the patent that constitute a failure of the Applicants to engage in reasonable efforts to conclude processing or examination of the present application as set forth in 37 C.F.R. §1.704.

### Period of adjustment for activities prior to mailing of Notice of Allowance

As detailed in the Patent Term Adjustment History that is attached as Exhibit B, the patent term adjustment indicated on the Notice of Allowance was 532 days.

### Period of adjustment pursuant to 37 C.F.R. § 1.703(a)(1)

The period of adjustment pursuant to 37 C.F.R. § 1.703(a)(1) is the number of days in the period beginning on the day ("the 14 month date") after that date that is fourteen months after the

Serial No. 10/518,560

Date Issued: November 17, 2009

Date Filed: August 31, 2005

date on which the application was filed under 35 U.S.C. §111(a) or fulfilled the requirements under

35 U.S.C. §371 and ending on the date of either an action under 35 U.S.C. §132 or a notice of

allowance under 35 U.S.C. 151, whichever occurs first.

The requirements of 35 U.S.C. §371 in the present application were fulfilled on August 31,

2005. The 14 month date specified in 37 C.F.R. § 1.703(a)(1) is October 31, 2006. A First Office

Action was mailed on April 15, 2008. As set forth in Exhibit B, the difference between the 14

month date and the date of mailing of the First Office Action is 532 days. This period of time

constitutes the "A period" or "A delay" under 35 U.S.C. § 154(b)(1)(A)(i)-(iv).

Period of adjustment pursuant to 37 C.F.R. § 1.703(b)

The period of adjustment pursuant to 37 C.F.R. § 1.703(b) is the number of days in the

period beginning on the day ("the 3 year date") after the date that is three years after the date on

which the application was filed pursuant to 35 U.S.C. § 111(a).

The requirements of 35 U.S.C. §371 in the present application were fulfilled on August 31,

2005, as evidenced by the official filing receipt attached as Exhibit C. The 3 year date determined

pursuant to 37 C.F.R. § 1.703(b) is August 31, 2008. U.S. Patent Number 7,618,747 was issued on

November 17, 2009, which is 443 days beyond the 3 year date. This period of time constitutes the

"B period" or "B delay" under 35 U.S.C. § 154(b)(1)(B).

Reduction in period of adjustment under 37 C.F.R. § 1.703(f)

The period of adjustment under 37 C.F.R. § 1.703(f) is the sum of the periods calculated

under 37 C.F.R. § 1.703(a) – (e), to the extent they are not overlapping.

The period of adjustment under 1.703(a)(1) is 532 days. The period of adjustment under

1.703(b) is 443 days. The First Office Action of April 15, 2008, was mailed prior to the "3 year

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Patent No. 7,618,747 Date Issued: November 17, 2009 Serial No. 10/518,560 Date Filed: August 31, 2005

date" of October 31, 2008. Therefore, the overlap of these two periods is 0 days. Accordingly, the

total period of adjustment pursuant to 37 C.F.R. § 1.703 is 532 days + 443 days = 975 days.

Total patent term adjustment

Based on the foregoing, we believe that the correct patent term adjustment for U.S. Patent

No. 7,618,747 should be the 532 days currently awarded and an additional 443 days due to

exceeding the 3 year date for a total patent term adjustment of 975 days. As indicated in Exhibit B,

total delay by Applicants' Attorney was 0 days.

It is respectfully asserted that the patent term adjustment determined by the U.S. Patent

and Trademark Office for U.S. Patent No. 7,618,747 is not correct. Accordingly, Applicants'

Attorney respectfully requests the U.S. Patent and Trademark office to reconsider and make

revisions to the PAIR system Patent Term Adjustment History in view of the previous remarks to

award 975 days. In addition, it is respectfully requested that the patent term adjustment be re-

calculated by the U.S. Patent and Trademark Office in view of the above remarks. Moreover, it

is respectfully requested that a Certificate of Correction be issued for U.S. Patent No. 7,618,747 to

indicate that 975 days of patent term adjustment have been awarded. Office personnel are invited

to contact Applicants' Attorney via telephone if such communication would be beneficial in

fulfilling this request.

Applicants believe that the Office did not honor the provisions of 35 U.S.C. § 154 by not

counting the non-overlapping 443 day PTO delay set forth above. Applicants are unaware of any

statutory or legislative bases for the Office's failure to consider this 443 day delay in the overall

term adjustment. Applicants believe that the Rules set forth by the PTO on this issue appear to

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Patent No. 7,618,747 Date Issued: November 17, 2009 Serial No. 10/518,560 Date Filed: August 31, 2005

be contrary to the specific language of this statute and the intended purpose set forth by Congress.

Applicants' position further finds support in a recent Federal Circuit Court of Appeals decision (*Wyeth, et al. v. Kappos*, Case 2009-1120, January 7, 2010). Like the plaintiffs in *Wyeth, et al. v. Kappos*, Applicants similarly contend that the A delay and B delay in the instant case constitute non-overlapping periods that should be included in the patent term adjustment in accordance with the statute and legislative history pertaining to 35 U.S.C. § 154.

Applicants reserve the right to appeal a denial of our petition in view of the *Wyeth*, *et al. v. Kappos* case discussed above.

Respectfully submitted,

Allen R. Baum

Registration No. 36,086

aller C. Zaun

Agent for Applicants

BRINKS HOFER GILSON & LIONE 2801 Slater Road, Suite 120 Morrisville, North Carolina 27560 +1.919.481.1111

### **EXHIBIT A**



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

 APPLICATION NO.
 ISSUE DATE
 PATENT NO.
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 10/518,560
 11/17/2009
 7618747
 13810-12
 6787

45473

7590

10/28/2009

BRINKS, HOFER, GILSON & LIONE P.O. BOX 1340 MORRISVILLE, NC 27560

### BRINKS HOFER GILSON & LIONE ECEIVE NOV 02 2009 ECEIVE

### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 532 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Albane Audemer, Lier, BELGIUM; Calin Wurm, Amiens, FRANCE; Mathieu Morcrette, Amiens, FRANCE; Sylvain Gwizdala, Brienon sur Armancon, FRANCE; Christain Masquelier, Amiens, FRANCE;

## EXHIBIT B

10/518,560	CARBON-COATED LI-CONTAINING POWDERS AND PROCESS FOR PRODUCTION THEREOF			01-19- 2010::11:16:34	
Patent Tern	n Adjustments			***	
	Adjustment (PTA) for	Application Numl	ber: 10/518,560		
Filing or 371(	-	08-31-2005	USPTO Delay (PTO) Delay (days):	532	
Issue Date of	-	11-17-2009	Three Years:	-	
Pre-Issue Petitions (days):		+0	Applicant Delay (APPL) Delay (days):	0	
Post-Issue Petitions (days):		+0	Total PTA (days):	532	
USPTO Adjustment(days):		+0	Explanation Of Calculations		
Patent Tern	n Adjustment His	story			
Date	Contents Descrip	otion	PTO(Days)	APPL(Days)	
10-28-2009	PTA 36 Months				
11-17-2009	Patent Issue Date	Used in PTA Calc	ulation		
10-06-2009	Dispatch to FDC				
10-06-2009	Application Is Considered Ready for Issue				
09-30-2009	Issue Fee Payment				
09-30-2009	Issue Fee Payment	Received			
08-14-2009	Mail Notice of Allov	vance			
08-13-2009	Document Verificat	tion			
08-13-2009	Notice of Allowance	e Data Verificatio	n Completed		
08-13-2009	Case Docketed to I	Examiner in GAU			
08-13-2009	Examiner's Amend	ment Communic	ation		
05-01-2009	Information Disclos	sure Statement o	considered		
05-01-2009	Information Disclos	sure Statement (	IDS) Filed		
06-12-2009	Date Forwarded to	Examiner			
05-01-2009	Response after Nor	n-Final Action			
05-01-2009	Information Disclos	sure Statement (	IDS) Filed		
04-01-2009	Mail Pre-Exam Noti	ce			
02-03-2009	Mail Non-Final Reje	ection			
02-02-2009	Non-Final Rejection	า			
11-21-2008	Date Forwarded to	Examiner			
11-14-2008	Response to Election	on / Restriction F	iled		
10-23-2008	Mail Restriction Red	quirement			
10-22-2008	Requirement for Re	estriction / Election	on		
08-18-2008	Date Forwarded to	Examiner			
07-11-2008	Response after Nor	n-Final Action			
04-15-2008	Mail Non-Final Reje	ection	532		
04-14-2008	Non-Final Rejection	1	1	•	
08-31-2005	Information Disclos	sure Statement c	onsidered 1	•	
04-01-2008	Case Docketed to E	xaminer in GAU	Û	•	
10-09-2007	Case Docketed to E	xaminer in GAU	4	•	
09-26-2007	Case Docketed to E	xaminer in GAU	1	•	

08-31-2005	Information Disclosure Statement (IDS) Filed	1
08-31-2005	Information Disclosure Statement (IDS) Filed	4
08-31-2005	Miscellaneous Incoming Letter	1
11-23-2005	IFW TSS Processing by Tech Center Complete	4
11-23-2005	Case Docketed to Examiner in GAU	4
11-18-2005	Cleared by OIPE CSR	1
11-18-2005	Cleared by OIPE CSR	1
11-18-2005	Cleared by OIPE CSR	1
11-18-2005	Cleared by OIPE CSR	4
08-31-2005	371 Completion Date	1
09-29-2005	Application Dispatched from OIPE	
09-29-2005	Notice of DO/EO Acceptance Mailed	
08-31-2005	Additional Application Filing Fees	
08-31-2005	Information Disclosure Statements	
08-31-2005	A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic	

**Close Window** 

### **EXHIBIT C**



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Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 ART UNIT APPL NO. FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/518.560 08/31/2005 2838 1330 UMC.10018 12 4

45473 HUTCHISON & MASON PLLC PO BOX 31686 RALEIGH, NC 27612



Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Albane Audemer, Lier, BELGIUM; Calin Wurm, Amiens, FRANCE; Mathieu Morcrette, Amiens, FRANCE; Sylvain Gwizdala, Brienon sur Armancon, FRANCE; Christain Masquelier, Amiens, FRANCE;

Power of Attorney: The patent practitioners associated with Customer Number 45473.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/06628 06/19/2003 which claims benefit of 60/392,978 07/02/2002

### Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02291562.3 06/21/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Carbon-coated li-containing powders and process for production thereof

### **Preliminary Class**

320

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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